

PART B: BROKER TO BROKER TRANSFER AUTHORITY FORM - EQUITIES

Please complete this form if you wish to transfer securities from another Sponsoring Broker to Pershing Securities Australia Pty Ltd (**Pershing**).

Important: PLEASE ATTACH A COPY OF YOUR LATEST CHESS HOLDING STATEMENT

1. PERSHING ACCOUNT DETAILS

Account Number

Account Name

2. DETAILS AT EXISTING SPONSORING BROKER

For your transfer to be successful, your registration details (i.e. your name and address) on this form must agree with the details on your account with Pershing. If not, you will need to advise your existing Sponsoring Broker of any changes before we can process this transfer.

Please ensure that the details supplied below match the details recorded on your latest CHESS Holding Statement

Registered Name

Account Designation or Trust e.g. <X & Y Superfund A/c>

Registered Address

Name of existing Broker

HIN at existing Broker

Existing Broker Account Number

Existing Broker PID

Please select one option:

- Please transfer HIN (and all holdings) from existing broker to Pershing (PID 1792); **or**
 Please transfer only those holdings listed below from existing broker to Pershing (PID 1792)

SECURITIES TO BE TRANSFERRED (Attach a separate sheet if additional securities are required to be transferred)

ASX CODE	SECURITY NAME (E.g. BHP Limited)	QUANTITY (No. Of Shares)

Agreement:

CHESS Sponsorship: Sponsor me/us into the CHESS Settlement Facility in accordance with Pershing's CHESS Sponsorship Agreement Terms and Conditions. I/we authorise Pershing to transfer the existing HIN and all holdings or the above listed Holdings to my/our Pershing account and we agree to be bound by Pershing's Sponsorship Agreement Terms and Conditions.

If the registration details that are being transferred to Pershing do not match the details supplied on Pershing's Sponsorship Agreement, I hereby authorise Pershing to amend the Registration details to match the Sponsorship Agreement.

Signature of Security Holders Requesting Transfer (all security holders must sign):

Full Name Signature Date

Full Name Signature Date

Full Name Signature Date

*ALL ACCOUNT HOLDERS MUST SIGN. FOR COMPANY ACCOUNTS, SIGN IN ACCORDANCE WITH THE COMPANY'S CONSTITUTION

PERSHING USE ONLY

SPONSORSHIP AGREEMENT RECEIVED INITIALS _____ DATE _____

PART C: BROKER TO BROKER TRANSFER AUTHORITY FORM - OPTIONS

Please complete this form if you wish to transfer Options Positions from another Sponsoring Broker to Pershing Securities Australia Pty Ltd (**Pershing**).

Important: PLEASE ATTACH A COPY OF YOUR LATEST POSITION STATEMENT

1. PERSHING ACCOUNT DETAILS

Account Number

Account Name

2. DETAILS AT EXISTING SPONSORING BROKER

For your transfer to be successful, your registration details (i.e. your name and address) on this form must agree with the details on your account with Pershing. If not, you will need to advise your existing Broker of any changes before we can process this transfer.

Please ensure that the details supplied below match the details recorded on your latest Statement

Registered Name

Account Designation or Trust e.g. <X & Y Superfund A/c>

Registered Address

Name of existing Broker

HIN at existing Broker

Existing Broker Account Number

Existing Broker PID

Please select one option:

- Please transfer ALL Options, Cash and Collateral from existing broker to Pershing (PID 1792); or
- Please transfer only those positions shown in the attached statement /confirmation note from existing broker to Pershing (PID 1792); **or**
- Please transfer only those positions listed below from existing broker to Pershing (PID 1792)

OPTIONS POSITIONS TO BE TRANSFERRED (Attach a separate sheet if additional securities are required to be transferred)

CALL / PUT, EXPIRY MONTH, STRIKE	QUANTITY	TRADE PRICE

Agreement:

I/we authorise Pershing to transfer the existing Options Positions as detailed above to my/our Pershing account and we agree to be bound by Pershing's Derivatives Terms and Conditions.

Signature of Account Holders Requesting Transfer (all account holders must sign):

Full Name

Signature

Date

Full Name

Signature

Date

Full Name

Signature

Date

*ALL ACCOUNT HOLDERS MUST SIGN. FOR COMPANY ACCOUNTS, SIGN IN ACCORDANCE WITH THE COMPANY'S CONSTITUTION